

30 West 72nd Street (NEW)	Remediation	COB	Provided list of pending items	Joe	6/14/2019
MPT FUNDS SPC LTD (██████████) (NEW)	Remediation	COB	Provide list of requirements	Joe	6/14/2019

Pending with Business

Client Name	KYC Type	Status
Vanguardia Inversiones SA	Remediation	Pending with business to provide pending items
Dakota Pacific Global Macro Fund LLC	Extension	Client to provide clarification to AFC question
Staple Street Global	Extension	With business to obtain from client
DA Brazil	NCA	With business to obtain from client
DA Venezuela	NCA	With business to obtain from client
Ultralat Capital Markets	Refresh	With business to obtain from client
Anschutz Investment Company	Extension	With business to provide required info
Fox Growth Fund Inc	NCA	Pending with business
Niscaly	NCA	Pending with business
Black Maple Capital Partners	NCA	With business to obtain from client
The Lamia Ventures Corporation	Remediation	With business to obtain from client

Kind regards,

<image004.gif>

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#Positive Impact

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