

KYC Case # : **01790655**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP- [REDACTED]	Booking Center:	New York
Relationship Manager:	Stewart Oldfield		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship <input checked="" type="checkbox"/> Existing PWM Relationship		
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** This KYC is a periodic review for high risk remediation*** Original KYC1195100 ONLY adding signers to account. All signers have already been approved in previous KYC's. Please rush as this is a simple update. Darren Indyke was approved in KYC 01133377 Harry Beller was added in approved KYC 01141308		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral <input type="checkbox"/> RM Prospect <input type="checkbox"/> Intermediary/FIM <input type="checkbox"/> Other Source (CIB, etc.)		
	Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	Southern Financial, LLC Deposit- [REDACTED]	9/5/2013
2	<input checked="" type="checkbox"/>	Southern Trust Company, Inc- Deposit- [REDACTED]	8/27/2013
3	<input checked="" type="checkbox"/>	Jeffrey Epstein - Deposit- [REDACTED]	3/8/2016
4	<input checked="" type="checkbox"/>	J. Epstein Virgin Islands Foundation, Inc - Brokerage- [REDACTED]	9/30/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Darren Indyke		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]