

Court Cases are closed	
Erika Kellerhals: Civil Action court case of James P Carroll v Dawn Prosser (a divorce case) which lists Erika Kellerhals as general counsel as she is an attorney. Another case with Supermarket Source Inc lists Erika as the defendant's counsel. These cases are standard in Erika's line of work, and they are not directed at her personally.	
E.	To the best of your knowledge, has the client ever been convicted of a criminal offense? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
F.	To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? <i>(If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately)</i> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
G.	Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
H.	Does the client or related party have any financial or other association/ interactions within high risk countries? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
I.	Corporate Documentation Attached (Legal Entities Only) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable
J.	Undisclosed Principal Form Complete (Intermediaries Only) <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable
K.	If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation: