

From: Melisa Venegas [REDACTED]
Sent: 4/7/2017 1:42:02 PM
To: Andrew Gallivan [REDACTED]; Michael S Jacoby [REDACTED]; Lee Hutter [REDACTED]; Mark LaRoe [REDACTED]
CC: Vaishali-P Mehta [REDACTED]; Armen Brash [REDACTED]
Subject: Mar 2017 AML/KYC Escalation Reports [1]
Attachments: US_Onshore.xlsx

Classification: **For internal use only**

All,

Included below is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly. Thank you.

Overdue Temporary Exceptions

Risk Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	3				3
Moderate					0
Low	1	1			2

Overdue High Risk in Person Meetings

20



Melisa Venegas

Deutsche Bank Wealth Management
60 Wall Street, 10005-2836 New York, NY, USA

Tel.: [REDACTED] | Email [REDACTED]