

**From:** Melisa Venegas [REDACTED]  
**Sent:** 4/6/2016 12:22:14 PM  
**To:** Armen Brash [REDACTED]; Andrew Gallivan [REDACTED]; Michael S Jacoby [REDACTED]; Lee Hutter [REDACTED]; Mark LaRoe [REDACTED]  
**CC:** Vaishali-P Mehta [REDACTED]  
**Subject:** Apr 2016 AML/KYC Escalation Reports [I]  
**Attachments:** US Onshore.xlsx

Classification: **For internal use only**

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All,

Included below is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly. Thank you.

**ACUs w/Mat Changes**

Risk   Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	-	-	-	7	7
Moderate	2	5	7	15	29
Low	-	1	2	10	13

**Overdue Temporary Exceptions**

Risk   Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	15	-	-	1	16
Moderate	1	1	-	-	2
Low	2	-	-	-	2

**Overdue High Risk in Person Meetings**

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