

From: Rich Iarossi [REDACTED]
Sent: 4/6/2016 3:19:25 PM
To: Armen Brash [REDACTED]; Charles Hoffarth [REDACTED]; Charlie Burrows [REDACTED]; Daphne Cales [REDACTED]; Diana Canter [REDACTED]; Janice Franklin [REDACTED]; Lina M Arias [REDACTED]; Mary C Keaveney [REDACTED]; Lee Hutter [REDACTED]; Michael S Jacoby [REDACTED]; Sarita Medina [REDACTED]; zia Memon [REDACTED]; Mikal Ison [REDACTED]; David-K Ng [REDACTED]; Vaishali-P Mehta [REDACTED]; Andrew Bolender [REDACTED]
CC: Andrew Gallivan [REDACTED]; Patrick Harris [REDACTED]
Subject: Monthly Annual Client Update Reports - April 2016 [I]
Attachments: ACUs- Coming due in 30 Days.xlsx; ACUs- Overdue.xlsx

Classification: **For internal use only**

All,

Attached are two reports:

- Any ACU that is past due
- ACUs due within the next 30 days

*The tables below are a breakdown of the Overdue ACU's.

US PB	# of active clients	1-30	31-60	61-90	90+	Grand Total	Previous Month	Current Month
PEP	-	-	-	-	-	-	-	-
Low Risk	9963	81	42	17	29	169	1.36	1.70
Moderate Risk	4614	66	5	4	14	89	1.02	1.93
High Risk	1464	19	6	1	66	92	0.29	6.28

	1-30	31-60	61-90	90+	Grand Total
BANKING	12			5	17
Low Risk	1			1	2
Moderate Risk	5			2	7
High Risk	6			2	8
COVERAGE-Domestic	60	28	12	46	146
Low Risk	33	23	10	15	81
Moderate Risk	22	4	1	5	32
High Risk	5	1	1	26	33
COVERAGE-GAT	38	9	4	2	53
Low Risk	30	9	3		42
Moderate Risk	7		1	2	10
High Risk	1				1
COVERAGE-LATAM	31	6	2	10	49
Low Risk	1		1		2
Moderate Risk	24	1	1	1	27
High Risk	6	5		9	20
CUSTODY	8	7	1	8	24
Low Risk	4	7		6	17