

Classification: **For internal use only**

Dear Andrew,

As you know, our procedures require MDs to approve all ACUs completed for High Risk clients each month.

Please review the below ACUs completed and approve them.

Annual Client Update: ID	GCIS Customer Number	Customer Owner	MD	Customer Name	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.
		Douglas Robertson	Andrew Gallivan	Atlantix Investment LLC	10/12/2016	I spoke to Jane about coming changes to the accounts to be reflected by KYC updates not yet ready.	No changes yet.	No	No
		Douglas Robertson	Andrew Gallivan	Millstein Atlantix LLC	10/12/2016	I spoke to Jane about coming changes to the accounts to be reflected by KYC updates not yet ready.	No changes yet.	No	No
		Mark Gonzalez	Andrew Gallivan	435 E 76 3D LLC	10/4/2016	Mr. Prabhakar resides in Dubai and is in the duty free business.	Nothing has materially changed for this client.	Yes	No
		Mark Gonzalez	Andrew Gallivan	555 W 23 N4R LLC	10/4/2016	Mr. Prabhakar resides in Dubai and is in the duty free business.	The property has been sold and the entity will be winding down.	Yes	No
		Mark Gonzalez	Andrew Gallivan	635 W 42 7E LLC	10/4/2016	Mr. Prabhakar resides in Dubai and is in the duty free business.	Nothing has materially changed for this client.	Yes	No
		Mark Gonzalez	Andrew Gallivan	67 Liberty PHB LLC	10/4/2016	Mr. Prabhakar resides in Dubai and is in the duty free business.	Nothing has materially changed for this client.	Yes	No
		Stewart Oldfield	Andrew Gallivan	Butterfly Trust	9/29/2016	change in trustees	No material change	Yes	No
		Stewart	Andrew	Gratitude	9/29/2016	no material	no material	Yes	No