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Passion to Perform

From: Andrew Gallivan
Sent: Friday, September 08, 2017 12:06 PM
To: Patrick Harris <[REDACTED]>
Subject: RE: What are you presenting to the Risk committee Monday on high risk KYC's [I]

Classification: **For internal use only**

Also thinking about this for the medium risk KYC related to the previously exempted trusts let's engage the trust team to help gather all the necessary information. They will have direct client contact and should be able to handle that outreach. We may need to educate them on the intricacies of the new policy but they should be able to lift some of this burden. Ultimately the banker's name will need to be tagged to this from a completion monitoring standpoint but someone else can help in plowing through these....Thoughts?

From: Patrick Harris
Sent: Friday, September 08, 2017 11:47 AM
To: Andrew Gallivan
Subject: RE: What are you presenting to the Risk committee Monday on high risk KYC's [I]

You're right: "offered" should be deleted.

Sent with BlackBerry Work
(www.blackberry.com)

From: Andrew Gallivan <[REDACTED]>
Date: Friday, Sep 08, 2017, 11:44 AM
To: Patrick Harris <[REDACTED]>
Subject: RE: What are you presenting to the Risk committee Monday on high risk KYC's [I]

Classification: **For internal use only**

3rd bullet on page 9 wording seems off. I think we want to say has given written guidance but wanted to confirm with you

From: Patrick Harris
Sent: Friday, September 08, 2017 11:39 AM
To: Andrew Gallivan
Subject: RE: What are you presenting to the Risk committee Monday on high risk KYC's [I]