
From: Andrew Gallivan [REDACTED]
Sent: 4/7/2017 3:15:31 PM
To: Vaishali-P Mehta [REDACTED]
Subject: RE: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Approved.

From: Vaishali-P Mehta
Sent: Friday, April 07, 2017 2:21 PM
To: Andrew Gallivan
Subject: FW: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Hi Andrew,

In an effort to ensure that you have to approve only 1 email, I sent the below email, in hindsight I should have been more careful about not ccing all the Bankers on the email. I apologize for the inconvenience caused.

However I still need the approval from you. Could you please approve the below High Risk ACUs which were completed?

As I mentioned in the below email, this is the last email based approval I will be needing from you.

Regards,

Vaishali Mehta

Deutsche Bank Wealth Management
101 California Street, Suite 2400, San Francisco, CA USA
[REDACTED]
[REDACTED]

From: Vaishali-P Mehta
Sent: Wednesday, April 05, 2017 12:23 PM
To: Andrew Gallivan
Cc: Mark Gonzalez; Rachel Maglicic; Stewart Oldfield; Brian P Convey; Joanne Jensen; Jennifer Shaw; Andrea Nicoletti; Rosemary Vrablic; Mary A Coleman; Terrence F Tangney; Terri Sohrab
Subject: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Dear Andrew ,

All the below ACUs were completed for High Risk clients for this month. As you know the new Policy now requires an MD Approval over and above the approval of the account owner / RM. Which means if the RM is an MD, the approval must come from another MD who is in their reporting line.

In that regard, could you please approve the below ACUs?