
From: Rich Iarossi [REDACTED]
Sent: 11/5/2014 4:40:18 PM
To: Andrew Gallivan [REDACTED]; Ankur Shah [REDACTED]; Armen Brash [REDACTED]; Charles Hoffarth [REDACTED]; Charlie Burrows [REDACTED]; Daphne Cales [REDACTED]; Diana Canter [REDACTED]; Erin O-Rourke [REDACTED]; Irina Yablochnik [REDACTED]; Janice Franklin [REDACTED]; Josh Rosen [REDACTED]; Kimberly Hart [REDACTED]; Lina M Arias [REDACTED]; Liz Fitzgerald [liz.fitzgerald@db.com]; Mary C Keaveney [REDACTED]; Michael-J Davis [REDACTED]; Michael S Jacoby [REDACTED]; Sarita Medina [REDACTED]; Zia Memon [REDACTED]
Subject: Monthly Annual Client Update Reports - November [I]
Attachments: 2014 November - ACUs Due Within 30 Days.xlsx; 2014 November - ACUs Overdue.xlsx; pic11922.gif; pic27781.gif

Classification: For internal use only

All,

Attached are two reports:

Any ACU that is past due
ACUs due within the next 30 days

(See attached file: 2014 November - ACUs Due Within 30 Days.xlsx)(See attached file: 2014 November - ACUs Overdue.xlsx)

All appropriate client facing professionals have already received e-mail notifications. Any additional follow up on your end is optional.

Please note there are certain customers that appear to have outstanding ACUs for several months and even years. This maybe due to either a system glitch or some other circumstance out of the CFP's control. I am working on these cases with the CFPs on a one to one basis. Please also note that the below reports are created as of the first of the month- clients may appear below even though the ACUs have been completed by the time all notifications are sent out.

Kind regards,

Kind regards,
Rich Iarossi

(Embedded image moved to file: pic11922.gif)

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