

Classification: **For internal use only**

Dear Andrew ,

All the below ACUs were completed for High Risk clients for this month. As you know the new Policy now requires an MD Approval over and above the approval of the account owner / RM. Which means if the RM is an MD, the approval must come from another MD who is in their reporting line.

In that regard, could you please approve the below ACUs?

Annual Client Update: ID	GCIS Customer Number	Customer Name	MD	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.	Customer Owner
		CJA Private Equity Financial Restructuring Master Fund I, L.P.	Andrew Gallivan	3/8/2017	The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.	Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals. Yr Net Profit \$10MM, Investable Assets \$100MM No changes so far this year.	No	No	Mark Gonzalez
		CJA Public Private Multi Manager Master Fund, L.P.	Andrew Gallivan	3/8/2017	The entity is a Private Equity Investments firm. Commitments to the fund are raised from a number of sources including personal pension funds, financial institutions, foundations, endowments, and wealthy individuals.	Yr Net Profit \$10MM, Investable Assets \$100MM There have been no changes for this entity this year.	No	No	Mark Gonzalez
		Gapstow Capital Partners LP	Andrew Gallivan	3/8/2017	Private Equity Investments- Income is derived from investment management fees.	There have been no significant changes to this client's activity.	No	No	Mark Gonzalez