

From: Adrienne Bull [REDACTED]
Sent: 12/4/2017 11:00:36 AM
To: Andrew Gallivan [REDACTED]
CC: Samantha Harmon [REDACTED]
Subject: RE: KYC Program - Stats (data as of EOD Nov 16) [C]
Attachments: USO NY Dec 1.xlsx

Classification: **Confidential**

Hi Andrew and Samantha –

Please see the latest stats for USO NY below and attached

As of November 30, 2017

Team	Total # Customers in Scope ⁽¹⁾	# KYC's Fully Approved	# KYC's with Banker ⁽²⁾	# KYCs Pending Approval			% Completed by Banker (including Fully Approved)	% Fully Approved	% Completed by Banker (including Fully Approved)	% Fully Approved
				MD	ABR ⁽³⁾	AFC				
USO NY	493	305	93	0	11	84	81%	62%	75%	58%

As of November 23, 2017

From: Adrienne Bull
Sent: Monday, November 20, 2017 12:45 PM
To: Andrew Gallivan [REDACTED]
Cc: Samantha Harmon [REDACTED]
Subject: KYC Program - Stats (data as of EOD Nov 16) [C]

Classification: **Confidential**

Hi Andrew –

For your reference, please see the attached

Below is the summary details for USO NY for High Risk

As of November 16, 2017

Team	Total # Customers in Scope ⁽¹⁾	# KYC's Fully Approved	# KYC's with Banker ⁽²⁾	# KYCs Pending Approval			% Completed by Banker (including Fully Approved)	% Fully Approved	% Completed by Banker (including Fully Approved)	% Fully Approved
				MD	ABR ⁽³⁾	AFC				
USO NY	495	277	164	0	11	43	67%	56%	62%	53%

As of November 9, 2017