

KYC Update Steps	Submitted to Front Office (FO)	FO Approved	MD Approved	Fully Approved
Owner	DT	FO	FO	N/A
Total In Scope (Clients)*	1,170	1,120	1,120	1,120
# Completed (Clients)	942	393	339	231
# Remaining (Clients)	228	727	781	889
% Completed	80.51%	35.09%	30.27%	20.63%

DT: Daphne's Team  
FO: Front Office

\* Initial pop increased to 1477 after recent review of HR clients. Items may be determined out-of-scope during review.

#### Details

High Risk Remediation Data	USO	LatAm	MEA-NY	GAT	Total
Initial In Scope Clients	951	441	63	22	1,477
Out-of-Scope Identified*	166	170	14	7	357
Fully Approved	173	52	5	1	231
with Banker Team**	452	127	35	10	624
with Manager Director (MD)	4	3	-	-	7
with ABR/AFC	27	2	1	-	30
# of Downgrades (No longer HR)	63	13	6	1	83

\* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

\*\* Includes all KYC cases submitted to FO plus KYC cases Rejected by ABR/AFC.

#### Week over Week