

KYC Update Steps	Submitted to Front Office (FO)	FO Approved	MD Approved	Fully Approved
Owner	DT	FO	FO	N/A
Total In Scope (Clients)*	1,133	1,133	1,133	1,133
# Completed (Clients)	779	309	264	167
# Remaining (Clients)	354	824	869	966
% Completed	68.76%	27.27%	23.30%	14.74%

DT: Daphne's Team
FO: Front Office

* Initial pop increased to 1477 after recent review of HR clients. Items may be determined out-of-scope during review.

Details	USO	LatAm	MEA-NY	GAT	Total
High Risk Remediation Data					
Initial In Scope Clients	951	441	63	22	1,477
Out-of-Scope Identified*	152	162	20	10	344
Fully Approved	119	42	5	1	167
with Banker Team**	416	111	19	8	554
with Manager Director (MD)	8	3	3	-	14
with ABR/AFC	13	7	-	-	20
# of Downgrades (No longer HR)	52	11	5	-	68

* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

** Includes all KYC cases submitted to FO plus KYC cases Rejected by ABR/AFC.

Week over week