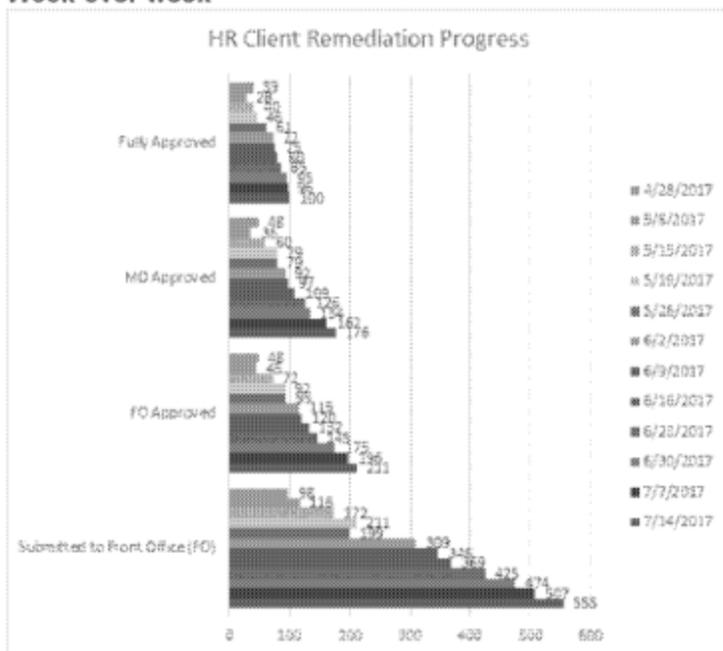


Out-of-Scope Identified*	143	152	18	10	323
with Banker Team**	312	98	1	7	418
with Manager Director (MD)	4	6	-	-	10
with ABR/AFC	6	3	-	-	9
# of Downgrades (No longer HR)	24	9	1	-	34

* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

** Includes all KYC cases submitted to FO plus KYC cases Rejected by ABR/AFC.

Week over week



Regards,
Rodrigo



Rodrigo Quintero
Vice President

Deutsche Bank Securities Inc.
Deutsche Bank Wealth Management
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

From: Rodrigo Quintero
Sent: Monday, July 10, 2017 8:34 AM
To: Patrick Harris <[redacted]>
Cc: Emily Craig <[redacted]>; Daphne Cales <[redacted]>; Janice Franklin <[redacted]>; Armen Brash <[redacted]>; Sheffali Welch <[redacted]>; Vaishali-P <[redacted]>