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From: Rodrigo Quintero
Sent: Monday, June 26, 2017 7:47 AM
To: Patrick Harris <[REDACTED]>
Cc: Emily Craig <[REDACTED]>; Daphne Cale <[REDACTED]>; Janice Franklin <[REDACTED]>; Armen Brash <[REDACTED]>; Sheffali Welch <[REDACTED]>; Vaishali-P Mehta <[REDACTED]>
Subject: KYC HR Clients Remediation - Status (6/23/17) [I]

Classification: **For internal use only**

All,

Below is the Monday morning deliverable (as of 6/23/17). Let me know if you have any question.

Summary

KYC Update Steps	Submitted to Front Office (FO)	FO Approved	MD Approved	Fully Approved
Owner	DT	FO	FO	N/A
Total In Scope (Clients)*	1,030	1,030	1,030	1,030
# Completed (Clients)	425	145	126	85
# Remaining (Clients)	605	885	904	945
% Completed	41.26%	14.08%	12.23%	8.25%

DT: Daphne's Team
FO: Front Office

* Initial pop 1,319. Items may be determined out-of-scope during review.

Details

High Risk Remediation Data	USO	LatAm	MEA-NY	GAT	Total
Initial In Scope Clients	821	417	61	20	1,319
Out-of-Scope Identified*	120	143	16	10	289
with Banker Team**	229	89	-	-	318
with Manager Director (MD)	3	9	-	-	12