

KYC Update Steps	Submitted to Front Office (FO)	FO Attested to Completeness	MD Approved	ABR Approved**	AFC Approved	Completed
Owner	DT	FO	FO	FO	FO	N/A
Total In Scope (Clients)*	1,034	1,034	1,034	1,034	1,034	1,034
# Completed (Clients)	369	132	109	4	76	80
# Remaining (Clients)	665	902	925	1,030	958	954
% Completed	35.69%	12.77%	10.54%	0.39%	7.35%	7.74%

DT: Daphne's Team
FO: Front Office

* Initial pop 1,319. Items may be determined out-of-scope during review.

** ABR is not always part of the High Risk approval flow.

Details

High Risk Remediation Data	USO	LatAm	MEA-NY	GAT	Total
Initial In Scope Clients	821	417	61	20	1,319
Out-of-Scope Identified*	118	141	16	10	285
# of KYC Cases Approved	53	26	1	-	80
# of KYC Cases Rejected	16	10	-	-	26
# of Downgrades	13	8	-	-	21
Averages					
Days with Daphne's Team	34	66	20	70	48
Days with Front Office	7	6	17	0	8
Days with Manager Director	2	3	3	0	2
Days before Final Approval (ABR/AML)	2	1	12	0	4
# of Rejections	1	1	5	1	2

* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

Additional details

# of Clients	Aging (days)				
KYC Case Status by Teams	0-30	31-60	61-90	90+	Total
USO	107	52	23	18	200
1. In Progress	88	48	22	15	173