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From: Rodrigo Quintero
Sent: Friday, June 09, 2017 1:49 PM
To: Sheffali Welch [REDACTED]; Patrick Harris [REDACTED]
Cc: Emily Craig [REDACTED]; Daphne Cales [REDACTED]; Janice Franklin [REDACTED]; Armen Brash [REDACTED]
Subject: RE: KYC HR Clients Remediation - Status [I]

Classification: **For internal use only**

1. Overdue 296 (details below)

# of Clients	2017			
KYC Case Status by Teams	MAR	APR	MAY	Total
USO	61	97	48	206
Not Yet Started	47	15	23	85
1. In Progress	8	78	23	109
3. Ready for Office Dir Approval/SMTH	1		2	3
5. Ready for Compliance Approval	1			1
Rejected (with Front Office)	4	4		8
LatAm	39	16	22	77
Not Yet Started	2	1	3	6
1. In Progress	32	11	17	60
2. Ready for Primary Officer Approval	3			3
3. Ready for Office Dir Approval/SMTH	1	1		2
4. Ready for AML & Bus. Risk Approval		3		3
5. Ready for Compliance Approval			1	1
Rejected (with Front Office)	1		1	2
MEA-NY	9		2	11
Not Yet Started	9		2	11
GAT	1		1	2
Not Yet Started			1	1
1. In Progress	1			1
Total	110	113	73	296

2. Regarding small relationships, as per email communication, excluding <\$5 mm CBV

3. The table below displays the summary of total HR KYCs due per month for 2017. File attached with customer owner break down. Second tab shows only the 249 KYC cases currently waiting Front Office action.