

# of Clients	2017										
Teams	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
USO	97	124	62	47	73	120	143	122	22	11	821
LatAm	46	37	37	50	21	72	53	86	13	2	417
MEA-NY	21		2	7	7	6	13	4		1	61
GAT	6	2	1	1	2	1	3	3		1	20
Total	170	163	102	105	103	199	212	215	35	15	1319

4. I believe the weekly report provided on Monday is being shared with Patrick Campion.

Regards,
Rodrigo



Rodrigo Quintero
Vice President

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Sent: Friday, June 09, 2017 1:17 PM
To: Rodrigo Quintero <[REDACTED]>; Patrick Harris <[REDACTED]>
Cc: Emily Craig <[REDACTED]>; Daphne Cales <[REDACTED]>; Janice Franklin <[REDACTED]>; Armen Brash <[REDACTED]>
Subject: RE: KYC HR Clients Remediation - Status [I]

Classification: **For internal use only**

How many are overdue?

What have you excluded regarding small clients? At this point, you should only be pulling out those with <\$2mm CBV.

Is there a breakdown by banker as well, as it appears there are ~200 with bankers right now.

Finally – has this also been sent to Patrick Campion?

Kind regards,

Sheffali