

4. Ready for AML & Bus. Risk Approval	3				3
Rejected (with Front Office)	8	1	1		10
GAT		1			1
1. In Progress		1			1
Total	125	88	36	40	289

Week Over Week

<< OLE Object: Picture (Device Independent Bitmap) >>

Regards,
Rodrigo

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Rodrigo Quintero
Vice President

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From: Rodrigo Quintero

Sent: Friday, May 26, 2017 2:20 PM

To: Patrick Harris

Cc: Emily Craig; Daphne Cales; Sheffali Welch

Subject: RE: KYC HR Clients Remediation - Status [I]

Classification: **For internal use only**

All,

Below is the Monday morning deliverable (as of 5/26/17). Let me know if you have any question.

Summary

KYC Update Steps	Create KYC Checklist	Review Info and Docs	Create KYC Update in System	Attach Checklist & Docs to KYC case	Request Due Diligence profile	Submit to Front Office	Attest to Completeness	Obtain MD Approval	Obtain ABR Approval***	Obtain AFC Approval
Owner	DT	DT	DT	DT	DT	DT	FO	FO	FO	FO
Total In Scope (Clients)*	485	485	485	485	485	485	485	485	485	485