

Additional Details (Aging by Case Status)

Current KYC Case Status (# of Clients) *	Aging (days)				Total	
	by TEAMS	0-30	31-60	61-90		90+
USO		63	35	29	6	133
1. In Progress (with Daphne's Team)		61	31	23	2	117
3. Ready for Office Dir Approval/SMTH (MD)		2				2
Rejected (with Case creator)			4	6	4	14
LatAm		59	28	11	26	124
1. In Progress (with Daphne's Team)		48	25	9	25	107
2. Ready for Primary Officer Approval (FO)		7				7
3. Ready for Office Dir Approval/SMTH (MD)		1				1
4. Ready for AML & Bus. Risk Approval (ABR)		3				3
Rejected (with Case creator)			3	2	1	6
GAT			1			1
1. In Progress (with Daphne's Team)			1			1
<b>Total</b>		<b>122</b>	<b>64</b>	<b>40</b>	<b>32</b>	<b>258</b>

Regards,  
Rodrigo

<< OLE Object: Picture (Device Independent Bitmap) >>

Rodrigo Quintero  
Vice President

Deutsche Bank Securities Inc.  
Deutsche Bank Wealth Management  
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA

**From:** Rodrigo Quintero  
**Sent:** Monday, May 22, 2017 9:27 AM  
**To:** Patrick Harris [REDACTED]  
**Cc:** Emily Craig [REDACTED]; Daphne Cales [REDACTED]  
**Subject:** RE: KYC HR Clients Remediation - Status [I]

Classification: **For internal use only**

All,

Below is the Monday morning deliverable (as of 5/19/17). Let me know if you have any question.