

Classification: **For internal use only**

I am a little delayed on today's report. Working out some issues on the DBForce data.

I will provide this as soon as it is available.

Regards,
Rodrigo

<< OLE Object: Picture (Device Independent Bitmap) >>

Rodrigo Quintero
Vice President

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From: Rodrigo Quintero
Sent: Monday, May 08, 2017 9:19 AM
To: Patrick Harris [REDACTED]
Cc: Emily Craig [REDACTED] Daphne Cales [REDACTED]
Subject: RE: KYC HR Clients Remediation - Status [I]

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All,

Below is the Monday morning deliverable (as of 5/08/17).

Summary

| SOURCE | DT | DT | DT | DT | DT | DT | DBF | DBF | DBF | DBF |
|---------------------------|----------------------|----------------------|-----------------------------|-------------------------------------|-------------------------------|------------------------|------------------------|--------------------|------------------------|---------------------|
| KYC Update Steps | Create KYC Checklist | Review Info and Docs | Create KYC Update in System | Attach Checklist & Docs to KYC case | Request Due Diligence profile | Submit to Front Office | Attest to Completeness | Obtain MD Approval | Obtain ABR Approval*** | Obtain AFC Approval |
| Owner | DT | DT | DT | DT | DT | DT | FO | FO | FO | FO |
| Total In Scope (Clients)* | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 | 1,212 |