

Summary

KYC Update Step #	1	2	3	4	5	6	7	8	9	10	
SOURCE	DT	DT	DT	DT	DT	DT	N/A	N/A	N/A	DBF	M
KYC Update Steps	Create KYC Checklist	Review Info and Docs	Create KYC Update in System	Attach Checklist & Docs to KYC case	Request Due Diligence profile	Submit to Front Office	Review KYC Checklist	Request Additional Documents (if needed)	Review Due Diligence Results (address hits)	Attest to Completeness	Su to
Owner	DT	DT	DT	DT	DT	DT	FO	FO	FO	FO	
Total In Scope (Clients)*	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,217	1,
# Completed (Clients)	110	107	102	101	101	98	-	-	48	48	.
# Remaining (Clients)	1,107	1,110	1,115	1,116	1,116	1,119	-	-	1,169	1,169	1,
% Completed	9.04%	8.79%	8.38%	8.30%	8.30%	8.05%	n/a	n/a	3.94%	3.94%	3.

Daphne's Team = DT
 Front Office = FO
 DB Force = DBF

* Initial In-Scope population 1319. However, some items have been determined out-of-scope during review.

Week over week

<< OLE Object: Picture (Device Independent Bitmap) >>

Regards,
 Rodrigo

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Rodrigo Quintero
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