

**From:** Oliver Esslinger [REDACTED]  
**Sent:** 5/14/2018 1:54:38 PM  
**To:** Armen Brash [REDACTED]; Julie Cataudella [REDACTED]  
**CC:** Kimberly Har [REDACTED]; zia Memon [REDACTED]  
**Subject:** FW: Market Purity Work Shop [C]  
**Attachments:** Market Purity Americas Workshop v1.pptx; Market Purity underlying data USO May 14th.xlsx; Picture (Device Independent Bitmap) 1.jpg; Picture (Device Independent Bitmap) 2.jpg; Picture (Device Independent Bitmap) 3.jpg

Classification: **Confidential**

Hi Armen/Julie:

Before I reach out to Sub GMT heads or similar I thought I'd start with you to review USO population in scope for market purity.

As discussed earlier today in our work shop (Armen, I can debrief you offline) please find enclosed universe of "impure" data (249 clients) asking you to review and provide feedback in excel template columns V-X using enclosed legend as reference as guidance.

I already cross referenced to previous PCS or other files you shared with only one match.

Besides the impurity based on UBO domicile Metric 1 (column T) we also tracked Metric 2 aka RM covering more than 10 jurisdictions in column S with the following outcome highlighting Mary Ann (9 close to threshold put still "pure" ) and Terrence (11).

If needed please discuss with Andrew to get some confirmation in terms of target market coverage as well

Row Labels	Count of Country		
MARY ANN COLEMAN	9	TERRENCE TANGNEY	11
AUSTRALIA	1	ARGENTINA	1
CANADA	1	FRANCE	1
JAPAN	1	GREECE	1
NETHERLANDS	1	ISRAEL	1
SINGAPORE	1	LIBERIA	1
SWITZERLAND	1	PANAMA	1
UNITED KINGDOM	1	PORTUGAL	1
UNITED STATES	1	SINGAPORE	1
VIRGIN ISLANDS, BRITISH	1	SWITZERLAND	1
		UNITED KINGDOM	1
		UNITED STATES	1

Please contact me for any questions, otherwise kindly try to provide me back with high level feedback **by end of this week.**

Thank you  
Oliver

Legend:

Outcome	Status	Rationale
For review	Under review with BC Resolution workshop	free text
Remediation	Global Escalation (if required)	
Accepted impurities	Not started	