

[REDACTED]

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On Dec 3, 2018, at 8:20 AM, Thomas Klemm [REDACTED] > wrote:

Maria, Andy, Kim,

I am planning to organizationally streamline our Case Manager / ABR set-up towards the 110 blueprint (screenshot below). In a first step that will touch all remediation / RR processes.

As part of the global 110 initiative led by Leo, it has already been decided that the "Neutral Control" group can be run under the same leadership as the KYC specialists. This has been globally endorsed by Anna, Wolfram, Jane.

Today, we run our KYC specialists under Daphne Cales (Head of RCs) and ABR (/Neutral Control) under Business Integrity. The future set-up to be announced probably next week would combine in JAX all Case Managers and ABR into one "KYC specialists function". Mumbai would continue to run a "Case Manager" office. Both teams would be ending up reporting into Emily Craig (Head of KYC) via Yoonsun Chung who will transfer from AFC into the business.

I wanted to ensure that you are all on board and please let me know if you have any questions or see the need for discussion.

Best,
Thomas

<image001.png>

Kind regards
Thomas Klemm

<image002.png>

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