

Jonathan Groom

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From: Melisa Venegas
Sent: Tuesday, October 16, 2018 9:57 AM
To: Jonathan Groom [REDACTED]; Oliver Esslinger <[REDACTED]>; zia Memon <[REDACTED]>; Kimberly Hart [REDACTED]
Cc: Emily Craig <[REDACTED]>
Subject: RE: FIM Relationships and FIMs - KYC Tracker [1]

Classification: **For internal use only**

Hi Jonathan,

Thanks for your confirmation, it's just informing them that the KYC is due for completion. Here is the list linked to the customer owner:

FIM Name	GCIS Number	Risk	Last Approved KYC	Status	Customer Owner	Team
[REDACTED]	[REDACTED]	Moderate	7/17/2017	Fully Approved	Terrence Tangney	USO NY
[REDACTED]	[REDACTED]	Moderate	7/12/2017	Client not started	Salvatore E. Moore	USO NY
[REDACTED]	[REDACTED]	High	7/17/2015	Client not started	Gabriel Micheletti	LatAm
[REDACTED]	[REDACTED]	Low	None	Client not started	Mark Gonzalez	USO NY
[REDACTED]	[REDACTED]	Low	None	Client not started	Mark Gonzalez	USO NY
[REDACTED]	[REDACTED]	Low	None	Client not started	Mark Gonzalez	USO NY
[REDACTED]	[REDACTED]	Low	None	Client not started	Mark Gonzalez	USO NY
[REDACTED]	[REDACTED]	Low	KYC in progress (#01916145)	Quality Rejection	Salvatore E. Moore	USO NY
[REDACTED]	[REDACTED]	Low	11/7/2013	Client not started	Mark Westhoff	USO Central
[REDACTED]	[REDACTED]	Low	None	Client not started	Sean Jules	USO Central
[REDACTED]	[REDACTED]	Moderate	5/10/2016	Client not started	Mauricio Pretelt	LatAm
[REDACTED]	[REDACTED]	Moderate	9/16/2015	Client not started	Brian Convey	USO NY
[REDACTED]	[REDACTED]	Low	5/14/2013	Client not started	Dan Gray	USO West
[REDACTED]	[REDACTED]	Low	7/28/2009	Client not started	Douglas Robertson	USO NY
[REDACTED]	[REDACTED]	Low	None	Client not started	Terrence Tangney	USO NY
[REDACTED]	[REDACTED]	Low	None	Client not started	Michael Lantz	Legacy PCS, Lending, Trusts
[REDACTED]	[REDACTED]	Low	12/22/2012	Client not started	Michael Lantz	Legacy PCS, Lending, Trusts
[REDACTED]	[REDACTED]	Low	7/21/2017	Client not started	Terrence Tangney	USO NY