

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, New York, NY 10154

Passion to Perform

Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by [REDACTED] on 11/05/2014 11:35 AM -----

From: Melisa Venegas/db/dbcom
To: [REDACTED]
Cc: [REDACTED]
Date: 11/03/2014 05:30 PM
Subject: October 2014 AML/KYC Escalation Reports [1]

Classification: For internal use only

All,

Included below is the monthly AML/KYC escalation report for overdue items (the file has several sheets). Please review and address accordingly. Thank you.

(See attached file: COVERAGE_Domestic.xlsx)(See attached file: Account Opening - COVERAGE-Domestic.xlsx)

Regards,



Melisa Venegas

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
60 Wall Street, 10005-2836 New York, NY, USA

Passion to Perform