

New EEA Accounts:

For Custody, DPM, Deposit, and Trust accounts opened by EEA (European Economic Area) client, account must not be opened without a signed 'Reverse Solicitation Affirmation Form' on file.

Account Opening Review Group Table:

Account Opening Review Group	Product Type
WM NOC	Deposits, Custody, DPM and Trust

- EEA client identified on dbforce Customer page, 'EEA Country' field. For each new account, Please check if marked as EEA in Dbforce on customer page (EEA Client type = "Retail or "Professional") and EEA country. If EEA country is Germany, proceed with existing requirements (MIFID II Regulation).

Customer Customize Page | Print

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Customer Record Type	Client [Change]	Customer Owner	XXXXXXXXXX [Change]
Customer Name	XXXXXXXXXX [New Hierarchy]	German PO	
Relationship	XXXXXXXXXX	Created By	System Administrator 12/16
* Type	Individual	Last Modified By	Relational Junction 7/8/2016
SSN		GCS Customer Number	XXXXXXXXXX
Federal Tax ID #		Customer Number	XXXXXXXXXX
Status	Active	DWH Customer Id	XXXXXXXXXX
Referral Source	Referral In	Bank	4
Name of Referral Source		Third Party Referral Source	
Client Since Date	1/10/1992	Former Client?	
Hidden Customer Name	XXXXXXXXXX	Portfolio Management Team	
Converted Customer Owner	XXXXXXXXXX	Covenant Contact Preference	* Moderate Risk
Lead Relationship Name		* High Risk	<input checked="" type="checkbox"/>
Lead Relationship Number		Will Appointment	
Officer Secondary Code		Volcker Covered Firm?	AIF <input type="checkbox"/> N/A
EEA Client Type	Retail	Risk Type	KCP
EA Country(ies)	United Kingdom		
Unregistered			
Last KYC-approved date			
Most Recent Date	1/10/1992		

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