```
DBDM                       RULES ASSIGNMENT DEFINITION
FUNCTION: RAUP  CORR: 6XE    ACCOUNT: ████████  DATA: ALL __
PAGE FORWARD IS AVAILABLE
   RR: ____
          INHERITED OUT   RULE
   ASGN    RULE    COME   CODE   RULE DESCRIPTION
   ----   --------  ----  -----  -------------------------------
           Y        W     01808  DISCRETIONARY TRADE IN NON-DISC
                    A     01809  ORDER IN CLIENT ACCOUNT EXCEEDS
                    A     01900  REVIEW ALL ACTIVITY
    y                A     01901  REVIEW BUYS
                    A     01902  REVIEW SELLS
    y                A     01903  REVIEW SHORT SALES
                    A     01904  REVIEW EQUITY ACTIVITY
                    A     01905  REVIEW MUTUAL FUND ACTIVITY
                    A     01906  REVIEW OPTION ACTIVITY
           Y        W     01907  REVIEW CXL/RPL ACTIVITY
                    A     01908  REVIEW FIXED INCOME ACTIVITY
    y                A     01909  REVIEW OPTION SELL-TO-OPEN ACTI
```

6. Sheet 2 lists the accounts to un-code. On NetX360 under Acct Settings, update by deleting "RETAIL" or "RETAIL – UK HNW COMPANY" from the EEA Client field. (You may see "professional" or "per se professional" instead of "retail" in the EEA Client field. This would have been added as instructed via email from Robert McKay/BSO. The procedure is the same).

Custom Fields Information	
DDA:	
MASTER MNEMONIC:	
USER DEFINED INFO1:	
USER DEFINED INFO3:	
EMAIL ADDRESS:	
AML RISK INDICATOR:	
INVESTEDGE ACCT:	Y
EEA CLIENT:	

7. Remove Rule 1900 from the Rules Engine on Pershing mainframe Tool/Rule/Raup (the Custom Message should delete when the Rule is deleted.