

Voting Authority: 2 Customer Votes

4. When an account is going into or out of a "**Centrally Managed investment authority**" then TAG should look for approval from Business Management (Lisa Combatti/ Jennifer Barker).

Note : If "EEA client type is Retail" then "**Investment management service Designation**" cannot be changed from "**Discretionary**" to "**Non-discretionary**" as per attached "Investment Management agreement", if it is check marked then case need to be rejected.

NOTE: Change of status to a U.S. person, U.S. place of birth, U.S. residence or mailing address, U.S. telephone number, a Power of Attorney or signatory authority granted to person with a U.S. address, TAG will check approval from ABR team.

For I account code extension change on Global plus, TAG will not require any approval from Lisa Combatti Team.

Miscellaneous Client Instruction Banking:

Note: No Approval is required to change Branches and Officer Codes.

Case use	HRM Rules Apply	Supporting Documents	Process Details	Notes
To update E Status on Metavante	No	Yes, Client Indemnification signed and signature verified or one time approval from either Halina Laczny, Kathryn J Stanfield, Lillian Torres, Yvette Tirrito, Enid Ellis, Roxanne Scott	TAG verifies that the signature on attachment matches with specimen in repository.	If Status E is not there, then we will reject the case or creator can take one time approval then TAG will add the account number in the Status E banned report. If one time approval is already

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