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|--|---|
| Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): This entity is owned 60% by Eric Meyer and 40% by William Vandewater    |   |
| To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?: | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| <b>Describe Nature of Entity's Primary Business and Investment Activities</b>  |   |
| Nature of the business:  | Investment Management   |
| Countries where business is transacted:  | United States   |
| Number of employees:   | 10  |

|   |          |                        |
|---|----------|------------------------|
| <b>3D. Wealth Profile</b> (Only for parties requiring source of wealth description as indicated in Section 2) |          |                        |
| Primary Country of source of wealth/source of Funds?  |          |                        |
| Primary industry of source of Wealth/Source of Funds?   |          |                        |
| Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):        |          |                        |
| Estimated gross receipts p.a. (\$):   |          |                        |
| Estimated net profit p.a. (\$):   |          |                        |
| Estimated investable assets (\$):   |          |                        |
| Potential Amount to be invested with PWM (\$):  |          |                        |
| Other Known Financial Institutions:   |          |                        |
| Institution:  | Country: | Est. Assets Under Mgt: |
| Institution:  | Country: | Est. Assets Under Mgt: |
| Institution:  | Country: | Est. Assets Under Mgt: |

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

|  |  |                                       |   |
|--|--|---------------------------------------|---|
| <b>3C. Legal Entity Details</b> (For all Legal Entities) |  |                                       |   |
| Legal Entity Name:                                       | MLC Investments Limited -  |                                       |   |
| Type of Entity:  | <input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company<br><input type="checkbox"/> Estate <input type="checkbox"/> Partnership | Purpose of Entity:                    | <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/ Charitable <input type="checkbox"/> Commercial |
| Type of Entity Other (specify):                          |  | Purpose of Entity Other (specify):    |   |
| Country of incorporation/registration:                   | Australia  | Date of incorporation / registration: | 7/27/1983   |
| Volcker Status:  | Determination Required   | Volcker Flag:                         |   |
| Address (city, street, post code):                       | [REDACTED]   | U.S. TIN/EIN:                         | [REDACTED]  |