

Institution:		Country:		Est. Assets Under Mgt:	
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One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	WM Pool Equities Trust No.78 -		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input checked="" type="checkbox"/> Trust <input type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	Australia	Date of incorporation / registration:	11/7/2014
Volcker Status:	Determination Required	Volcker Flag:	No
Address (city, street, post code):	105-153 Miller Street North Sydney NSW 2060 Australia	U.S. TIN/EIN:	47479028302
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: This acts similar to a retirement Fund. The fund consists of thousands and thousands of investors. There is no UBO. MLC Investments Limited is the Trustee of the fund.			
Please indicate how ownership of the legal entity is reflected: NA			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): NA			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Australian Superannuation fund		
Countries where business is transacted:	Australia		
Number of employees:	50+		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)	
Primary Country of source of wealth/source of Funds?	Australia
Primary industry of source of Wealth/Source of Funds?	Other
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Investment funds of Australian retirees.	
Estimated gross receipts p.a. (\$):	150,000,000.00
Estimated net profit p.a. (\$):	125,000,000.00
Estimated investable assets (\$):	\$500 MM +