

From: Amanda Kirby/[REDACTED]  
To: Kimberly Hart/[REDACTED]  
Date: 12/03/2013 04:29 PM  
Subject: Re: Past due KYC temporary exception [I]

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Sorry this slipped my mind. I spoke with the client and they are almost positive they do not have the Operating Agreement but is double checking and will confirm in the am. We have the Articles of Organization and a letter stating that it is a single member LLC and that he is the sole owner. Is there someone I can speak to to ask for a permanent exception if in fact he cannot find the Operating Agreement?

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

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From: Kimberly Hart/[REDACTED]  
To: Amanda Kirby/[REDACTED]  
Date: 12/02/2013 07:28 PM  
Subject: Past due KYC temporary exception [I]

Classification: For internal use only

Can you please provide an update regarding the past due temporary exception associated with KYC 01133377 in the name of Darren Indyke? This is past due and will appear on the monthly report if not addressed immediately.

Thanks,  
Kim

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