
From: Kimberly Hart [REDACTED]
Sent: 9/26/2017 6:39:07 PM
To: Keith Buckholz [REDACTED]
CC: Nicholas Haigh [REDACTED]
Subject: Re: Jeffrey Epstein / Southern Financial [I]

Thanks so much for the heads up. Dan Kaiser and Martin Zeman spoke to me about the client yesterday and I am taking a look at the client review process when he was originally onboarded.

Kimberly Hart
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On Sep 26, 2017, at 6:12 PM, Keith Buckholz <[REDACTED]> wrote:

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Kim,

I want to bring this relationship to your attention given his background. KCP would like to re-engage this client from a credit risk (OTC derivatives) standpoint. Prior to providing CRM approval to trade OTC derivatives I wanted to ensure that this client has gone through the high risk KYC process and defer to you in terms of whether this needs to go through Reputational Risk Committee (or if it has already received Reputational Risk approval). Happy to discuss if easier.

Regards,

Keith Buckholz

<image001.gif>

Keith Buckholz, CAIA
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