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Securities offered through Deutsche Bank Securities Inc.

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**From:** Kimberly Hart  
**Sent:** Wednesday, November 05, 2014 11:35 AM  
**To:** Andrea Tapia  
**Subject:** Fw: October 2014 AML/KYC Escalation Reports [I]

Classification: For internal use only



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----- Forwarded by Kimberly Hart/db/dbcom on 11/05/2014 11:35 AM -----

**From:** Melisa Venegas/db/dbcom  
**To:** Andrew Gallivan/db/dbcom@DBAMERICAS, Kimberly Hart/db/dbcom@DBAMERICAS, Michael-J Davis/db/dbcom@DBAMERICAS, Michael S Jacoby/db/dbcom@DBAMERICAS,  
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**Date:** 11/03/2014 05:30 PM  
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All,