

## Research Summary

Date: 27/Jan/17 07:51:50 PM Cost code: 6201215544 User: DEEPANSHU SHARMA

First name(s):  
Surname:

Check/Query	Source Type/Source	Search Parameters	Results
<b>News</b>			
<b>Negative News</b>	Negative News/Negative News / All English Language News	(( [REDACTED] and ([REDACTED]/50 Negative News*))	5
<b>News</b>	News/All English Language News	( [REDACTED]	Results found (not reviewed in this session)
<b>Sanctions &amp; Warnings</b>			
<b>Sanctions &amp; Watchlists</b>	Sanctions & Watchlists/Sanctions and Actions; Autorité des marchés financiers - Commission des sanctions	[REDACTED]	No Results
<b>Additional Watchlists</b>	Additional Watchlists/Sanction Lists; Watchlists and Blacklists	[REDACTED]	No Results
<b>FINRA Actions</b>	FINRA Actions/Combined NYSE & NASD Disciplinary Decisions; Financial Industry Regulatory Authority (FINRA/NASD) Arbitration Awards	((NAME [REDACTED]	No Results
<b>Legal</b>			

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