

Entity Self-Certification Form

Appendix 1: Controlling Persons

Appendix 1 must be completed for each Controlling Person of the entity, but only if the entity has a tax residence outside the United States. Please refer to the attached Guidance to the Entity Self-Certification Form which provides assistance for you to determine the Controlling Persons and how to complete this Appendix.

Please provide details of each Controlling Person below. Please continue on a separate sheet if necessary (and attach to this form).

Controlling Person	First Name	Jeffrey	Last Name	Epstein
	Date of Birth	1/20/1953	Controlling Person Type/Role	Pres., Dir., Ben. Owner
	Country/Countries of Tax Residence	US Virgin Islands		
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	<input checked="" type="checkbox"/> Yes (complete Appendix 2)	<input type="checkbox"/> No	

Controlling Person	First Name	Darren	Last Name	Indyke
	Date of Birth	[REDACTED]	Controlling Person Type/Role	VP, Secretary, Director
	Country/Countries of Tax Residence	US		
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	<input type="checkbox"/> Yes (complete Appendix 2)	<input checked="" type="checkbox"/> No	

Controlling Person	First Name	Richard	Last Name	Kahn
	Date of Birth	[REDACTED]	Controlling Person Type/Role	Treasurer, Director
	Country/Countries of Tax Residence	US		
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	<input type="checkbox"/> Yes (complete Appendix 2)	<input checked="" type="checkbox"/> No	

Controlling Person	First Name		Last Name	
	Date of Birth		Controlling Person Type/Role	
	Country/Countries of Tax Residence			
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	<input type="checkbox"/> Yes (complete Appendix 2)	<input type="checkbox"/> No	

Controlling Person	First Name		Last Name	
	Date of Birth		Controlling Person Type/Role	
	Country/Countries of Tax Residence			
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	<input type="checkbox"/> Yes (complete Appendix 2)	<input type="checkbox"/> No	

Each Controlling Person who is also a non-US Beneficiary/non-US Beneficial Owner must complete and sign Appendix 2: Beneficiary/Beneficial Owner Self-Certification Form. A Controlling Person who is a Beneficial Owner with a tax residence solely in the United States is not required to complete and sign Appendix 2.