

benefits and risks of, and exercising independent judgment in evaluating and determining whether to enter into, sophisticated trading strategies and financial transactions. Transactions are subject to relevant internal approvals of Deutsche Bank or its affiliates prior to execution, and no transaction, idea or opportunity discussed herein may be executed unless you are a client of Deutsche Bank and of the Institutional Wealth Partners group (IWP).

Material herein regarding IWP products, investment ideas and solutions is for discussion purposes only. While brokerage services offered through the IWP Americas desk may include investment recommendations and brokerage advice, IWP does not provide investment management or investment advisory services, and private market opportunities and other products and services available through IWP are offered only on a non-advisory basis.

This communication is confidential and personal to you, solely for your information and for discussion purposes only, and does not create any legally binding obligation. Reproduction or distribution of any material herein without our written consent is strictly forbidden.

From: Ian Salters

Sent: Monday, February 25, 2019 4:00 PM

To: Brian Serino <[REDACTED]>; Kareen Johnson <[REDACTED]>

Cc: Bill King <bill.king@db.com>; Davide-A Sferrazza <[REDACTED]>; Nina Tona <[REDACTED]>; Ian Salters <[REDACTED]>

Subject: KYC remediation [1]

Classification: **For internal use only**

Brian/Kareen - As previously discussed, the priority list is less than I thought, please see attached clients that need to be onboarded with CIB as a priority:

Bill - can you please take the lead on the ISG clients (this ties into the 32 clients you previously advised of):

Nina / Davide – can you please work together on the IWP clients (understand that Southern Financial is going away):

A) Wealth Management

WM Area	WM Client Name	GM Client Name	WM Contact/PM Updated	Has the client been through the CIB KYC onboarding process? YES/NO	PRIORITY YES / NO	Customer Number
ISG	140-154 W 72 Realty LLC	140-154 W 72 REALTY LLC	Joanne Jensen	NO	YES	0000435168
ISG	198-202 Elizabeth Street LLC	198-202 & 204-210 ELIZABETH STREET LLC	Joanne Jensen	NO	YES	0000475333
ISG	239-243-247 W 21 Realty LLC	239-243-247 W 21 REALTY LLC	Joanne Jensen	NO	YES	0000475331
ISG	250 E 63 REALTY LLC	250 E 63 REALTY LLC	Joanne Jensen	NO	YES	0000526222
ISG	BioDuro LLC	BioDuro LLC	Susan Moffat	NO	YES	0000585222
ISG	DAVID TODD STEVENS	DAVID TODD STEVENS	Brian Convey	NO	YES	0000478299
ISG	Elysee of Brickell LLC	Elysee of Brickell LLC	Rachel Maglicic	NO	YES	0000418208
ISG	Granite 1717 Hotel LLC	GRANITE 1717 HOTEL LLC	Joseph Sabboagh	NO	YES	0000499774
ISG	Internet Resources Management, Inc	Internet Resources Management, Inc	GAT	NO	YES	0000423089
ISG	MC2 Holdings LLC	MC2 Holdings LLC	Freddy Menjivar	NO	YES	0000508747
ISG	STEVEN J KASSIN & ISAAC S FRANCO JOINTLY & SE	STEVEN J KASSIN & ISAAC S FRANCO JOINTLY & SE	Rosemary Vrablic	NO	YES	0000475967
ISG	Sunset and Doheny Property, LLC	SUNSET & DOHENY PROPERTY LLC	Deirdre Judge	NO	YES	0000436527

B) IWP