

[REDACTED]; Zack Bunimovich <[REDACTED]>

**Subject:** RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Classification: **For internal use only**

James

Thank you for the update

Can you confirm the plan for the feedback on 3A below

Kind Regards

Simon Nall  
[REDACTED]

<image001.gif>

Deutsche Bank

Email [REDACTED]

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**From:** James Gladwin

**Sent:** 16 May 2018 15:19

**To:** Martin Zeman <[REDACTED]>

**Cc:** Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>

<[REDACTED]>; Nina Tona <[REDACTED]>; Xavier Avila

<[REDACTED]> Davide-A Sferrazza <[REDACTED]>; AMLUK

Reviews <[REDACTED]>; Devshiji Oedra <[REDACTED]>; Ian

Salters <[REDACTED]>; Simon Nall <[REDACTED]>; Ricky-x Patel <[REDACTED]>

<[REDACTED]>; Jesse-J Cruz <[REDACTED]>; Zack Bunimovich

<[REDACTED]>

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Hello all,

After liaising with Global CDS teams and specialist colleagues, UK AFC have identified the key barriers and now duly approved the Extension request.

I am happy to confirm that a BRG approval will NOT be necessary for this Extension.

For the sake of clarity and knowledge sharing it would be prudent to share a brief summary of the cause and resolution of such. I am more than happy to discuss and expand further via telephony should it be requested.

- i. Extension request was received by UK AFC and upon our initial review of the request it was apparent that there was no UK adoption within the dbCAR system, therefore AFC queried the requirement of a BRG review
- ii. Post investigation identified UK products had been booked WITHOUT a UK adoption – a never seen before scenario
- iii. Escalations to local policy colleagues and global CDS teams had also never seen such a scenario. Two cause theories were presented;