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James Gladwin
AFC Business Advisory
Anti-Financial Crime

DBOI Global Services (UK) Limited
5 Brindley Place, Birmingham, B1 2JB, United Kingdom
Email [REDACTED]

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From: Martin Zeman
Sent: 08 May 2018 20:27
To: Zack Bunimovich <[REDACTED]>; AMLUK Reviews <[REDACTED]>; Jesse-J Cruz <[REDACTED]>; James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; Nina Tona <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; [REDACTED] vier Avila <[REDACTED]>
Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

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James – responding to your questions below. The extension is permanent. Southern Financial LLC trade global macro and are looking to buy CDX IG payer spreads as a hedge for their overall equities and fixed income portfolio they trade with DB. The initial size is around \$100M.

Please call me if you need any more details. This is a priority for our desk. Thank you.

From: Zack Bunimovich
Sent: Tuesday, May 08, 2018 12:24 PM
To: AMLUK Reviews <[REDACTED]>; Jesse-J Cruz <[REDACTED]>; James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; [REDACTED] Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

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From: Zack Bunimovich
Sent: Tuesday, May 08, 2018 10:21 AM
To: AMLUK Reviews <[REDACTED]>; Jesse-J Cruz <[REDACTED]>; James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; [REDACTED] Martin Zeman <[REDACTED]>
Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]