

Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>
Zack Bunimovich <[REDACTED]>
Subject: RE: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

Classification: **For internal use only**

James/Helen,

Can you assist with this request? Please make top priority.

Thanks,
Jesse

From: Zack Bunimovich
Sent: Monday, May 07, 2018 3:51 PM
To: Jesse-J Cruz <[REDACTED]>; AMLUK Reviews <[REDACTED]>
amluk compliance <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>
[REDACTED]
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Hi AML teams,

Can you please revert on the below?

Thanks,
Zack

From: Jesse-J Cruz
Sent: Thursday, May 03, 2018 3:05 PM
To: AMLUK Reviews <[REDACTED]>; amluk compliance <[REDACTED]>
Cc: Zack Bunimovich <[REDACTED]>; Kareen Johnson <[REDACTED]>
Subject: Escalation: ONB-1135648 / LKYCGB-160049 / Southern Financial LLC [I]

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Hi All,

We are performing a principal product extension for **Southern Financial, LLC** for **GED, Convertible Bonds, and Global Credit Derivatives** in **DBAG**. DBcar triggered a high risk rating. Attached is an **EDD package** comprised of the following:

- Searches
- Formation documents
- Tax document
- Correspondence with client emails
- Financial statements