

- Source of Funds – Identification & Verification (Annual Report)
- Source of Wealth – Identify and Verify
- Client Contact for Patriot Act disclosure email
- Formation Document (Cert of Incorporation, etc)
- Nature of Business Doc

Thanks,
Zack

From: Martin Zeman
Sent: Monday, March 12, 2018 10:19 AM
To: Zack Bunimovich [REDACTED]; Xavier Avila [REDACTED]
Cc: Nina Tona [REDACTED]; Rita Shteynberg [REDACTED]; Mathew Negus [REDACTED]; Jitan Patel [REDACTED]; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza [REDACTED]; Zach Price [REDACTED]
Subject: RE: SF [I] [C]

Classification: **Confidential**

Morning Zack,

We haven't heard back from you on Friday. Can you let us know what the timeline looks like today please?

Thanks,
Martin

From: Zack Bunimovich
Sent: Friday, March 09, 2018 11:30 AM
To: Xavier Avila [REDACTED]; Martin Zeman [REDACTED]
Cc: Nina Tona [REDACTED]; Rita Shteynberg [REDACTED]; Mathew Negus [REDACTED]; Jitan Patel [REDACTED]; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza [REDACTED]; Zach Price [REDACTED]
Subject: RE: SF [I] [C]

Classification: **Confidential**

Xavier,

The fund was still undergoing a KYC review per my last contact with the team. I will check again today.

Thanks,
Zack

From: Xavier Avila
Sent: Friday, March 09, 2018 10:32 AM
To: Martin Zeman [REDACTED]
Cc: Nina Tona [REDACTED]; Zack Bunimovich [REDACTED]; Rita Shteynberg [REDACTED]; Mathew Negus [REDACTED]; Jitan Patel [REDACTED]; Alastair Mackinlay [REDACTED]; Davide-A Sferrazza [REDACTED]
Subject: re: SF [I]