

From: Zack Bunimovich
Sent: Tuesday, May 08, 2018 12:24 PM
To: AMLUK Reviews <[REDACTED]>; Jesse-J Cruz <[REDACTED]> James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>
Subject: RE: Escalation: ONB-[REDACTED] / [REDACTED] / Southern Financial LLC [I]

Classification: **For internal use only**

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From: Zack Bunimovich
Sent: Tuesday, May 08, 2018 10:21 AM
To: AMLUK Reviews <[REDACTED]> Jesse-J Cruz <[REDACTED]>; James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]> Daniel-A Klyashtorny <[REDACTED]>; Martin Zeman <[REDACTED]>
Subject: RE: Escalation: ONB-[REDACTED] LKYCGB-[REDACTED] / Southern Financial LLC [I]

Classification: **For internal use only**

Hi James,

For ii, this is a permanent extension.

Martin – can you advise on the questions from James in i. below?

Thanks,
Zack

From: James Gladwin On Behalf Of AMLUK Reviews
Sent: Tuesday, May 08, 2018 10:18 AM
To: Jesse-J Cruz <[REDACTED]> James Gladwin <[REDACTED]>
Cc: Kareen Johnson <[REDACTED]>; Daniel-A Klyashtorny <[REDACTED]>; Zack Bunimovich <[REDACTED]>; AMLUK Review <[REDACTED]>
Subject: RE: Escalation: ONB-[REDACTED] / Southern Financial LLC [I]

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Hi both,

I am happy to help.
Just a couple of immediate questions to help expedite this:

- i. What is the business purpose for the extension?
- a. Elaborate on key details including; booked trades, expected volumes
- ii. Is the extension request permanent or temporary?
- a. Please provide activation/closure dates if temporary

FYI, Helen has been removed from the chain as she has left the bank.