

	Joann DELPIZZO MILLER
	William JOHNSTON
	George SNYDER
	Judith BUSCH
	Elizabeth ATLEE CARAPICO
	Cecilia CORAZA
	Elaine ENGLISH
	James ENGLISH CORAZA
	George REIFF
	Amy BECCONE
	Mary CORAZA
	Anthony MONTALBANO
	Arland FRIEND
	Judith FRIEND
	Constance ATLEE JOHNSTON

Further Information

.; [BIOGRAPHY] Limited partner with PennMont Securities. [IDENTIFICATION] To be determined. [REPORTS] Jan 2012 - found by the Business Conduct Committee to be in violation of NYSE Arca Equities Rule by failing to provide testimony as requested by FINRA. Apr 2012 - to be permanently barred from NYSE Arca membership unless testimony is provided within required time frame.

External Sources

External Source

<http://www.nyse.com/pdfs/12-ARCA-3.pdf>