

From: Stewart Oldfield [REDACTED]
Sent: 12/20/2016 10:11:00 AM
To: Vahe Stepanian [REDACTED]; Amlcompliance Inquiries [REDACTED]; Zbynek Kozelsky [REDACTED]; Cynthia Rodriguez [REDACTED]; PWM AMLCompliance [REDACTED]
CC: Joshua Shoshan [REDACTED]; Donald Summer [REDACTED]; Cherie Quigley [REDACTED]
Subject: RE: URGENT - THIRD REQUEST!!!! RE: Inquiry Regarding THE HAZE TRUST, Account# N4G024943, Alert# SAM1788880 [I]

Classification: **For internal use only**

Client is a billionaire. It's not like this is a specific \$6mm of his. Most of his wealth comes from investments and advisory fees.

From: Vahe Stepanian
Sent: Tuesday, December 20, 2016 10:09 AM
To: Amlcompliance Inquiries; Zbynek Kozelsky; Cynthia Rodriguez; PWM AMLCompliance; Stewart Oldfield
Cc: Joshua Shoshan; Donald Summer; Cherie Quigley
Subject: RE: URGENT - THIRD REQUEST!!!! RE: Inquiry Regarding THE HAZE TRUST, Account# [REDACTED], Alert# SAM1788880 [I]

Classification: **For internal use only**

We don't know the answer. Are we supposed to reach out to client about this?

Thanks,
Vahe

From: Danya Friedman **On Behalf Of** Amlcompliance Inquiries
Sent: Tuesday, December 20, 2016 9:39 AM
To: Zbynek Kozelsky; Cynthia Rodriguez; PWM AMLCompliance; Stewart Oldfield; Vahe Stepanian
Cc: Joshua Shoshan; Donald Summer; Cherie Quigley; Amlcompliance Inquiries
Subject: RE: URGENT - THIRD REQUEST!!!! RE: Inquiry Regarding THE HAZE TRUST, Account# [REDACTED] Alert# SAM1788880 [I]
Importance: High

Classification: **For internal use only**

Good Morning:

From the initial response below, it appears that a response was given on a different wire in the amount of \$23 mil between brokerage and the private bank. Can anyone answer the inquiry regarding the wire from HSBC?

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N4G024943 DAN I W /CP0108 MIDLGB22BHX /ACC/PERSHING LLC 6186980.68
HSBC BANK USA, NATIONAL ASSOCIATION VOID //HBK ASSOCIATES
1800 TYSONS BLVD //ACC/PERSHING LLC //301 E 66TH STREET 10F
MC LEAN VA 22102 //HBK ASSOCIATES // /DAS/REF:902689TGR2S2
090180807 //301 E 66TH STREET N4G024943 THE HAZE TRUST TN
HSBC SECURITIES SERVICES (IRELAND) 10F // 285890201 RED TD 30SRP16 KB LP C
DAC /DAS/REF:902689TGR2S P-075C KING STREET LP 9.30.2016
[REDACTED] N306864101
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Incoming:

10/27/2016, \$6,186,980.68, HSBC SECURITIES SERVICES (IRELAND) DAC at HSBC BANK USA, NATIONAL ASSOCIATION