

**Beneficial Owner's Information** Please complete if owner is NOT an authorized person.

Name: \_\_\_\_\_ OR Entity Name: \_\_\_\_\_  
 DOB or Date of Establishment: \_\_\_\_\_ SSN or TIN: \_\_\_\_\_  
 Legal Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_  
 Country of Citizenship: \_\_\_\_\_ If not U.S. (check one):  Resident Alien  Non-Resident Alien  
 Employment Status:  Employed  Self-Employed  Retired  Unemployed  Homemaker  Student  
 Occupation and Position: \_\_\_\_\_  
 Employer's Name: \_\_\_\_\_  
 Employer's Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
 Length of Employment: \_\_\_\_\_  
 Home Phone Number: \_\_\_\_\_ Business Phone Number: \_\_\_\_\_ Email Address: \_\_\_\_\_

**Investment Experience**

Investment Type	Experience (Required) Years of Investing	Annual Activity Level (Select one)			
		None No history of any transactions	Limited (1-5 transactions per year)	Moderate (6-15 transactions per year)	High (Over 15 transactions per year)
Equities					
Options					
Fixed Income					
Mutual Funds					
Exchange Traded Funds (ETFs)					
Real Estate Property (excluding Primary Residence)					
Variable Annuities					
Alternative Investments (Hedge Funds, Private Equity)					
Structured Products					
Foreign Currency					

**FINRA/Broker Dealer Affiliations (Please Answer All Questions)**

Is any owner (or person with a beneficial interest in the account) either (1) a senior military, governmental, or political official of any country, or (2) closely associated with or an immediate family member of such an official?  Yes  No

Are any of the following employed by Deutsche Bank, its subsidiaries or affiliates, employed by a member firm or registered broker-dealer, or employed by FINRA or any other self-regulatory organization?  Yes  No (If Yes, please complete below)

Accounts owners?  Yes  No

Any member of the same household as the account owner?  Yes  No

Any person who materially supports the account owner?  Yes  No

Any immediate family member of the account owner?  Yes  No

Any person materially supported by the account owner?  Yes  No

If you answered YES to ANY of the above, please provide the following:

Name of Employee: \_\_\_\_\_ Relationship to Account Owner: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Is any owner now, or has any owner ever been, a senior corporate officer or director, or does any owner own 10% or more of any publicly-traded company's stock?  Yes  No If YES, provide name of company: \_\_\_\_\_

Will anyone else be entering orders:  Yes  No

If YES, please list name(s) (additional paperwork will be required).  
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