

|                                                                                   |                          |
|-----------------------------------------------------------------------------------|--------------------------|
|  | Joann DELPIZZO MILLER    |
|  | William JOHNSTON         |
|  | George SNYDER            |
|  | Judith BUSCH             |
|  | Elizabeth ATLEE CARAPICO |
|  | Cecilia CORAZA           |
|  | Elaine ENGLISH           |
|  | James ENGLISH CORAZA     |
|  | George REIFF             |
|  | Amy BECCONE              |
|  | Mary CORAZA              |
|  | Anthony MONTALBANO       |
|  | Arland FRIEND            |
|  | Judith FRIEND            |
|  | Constance ATLEE JOHNSTON |

#### Further Information

∴ [BIOGRAPHY] Limited partner with PennMont Securities. [IDENTIFICATION] To be determined. [REPORTS] Jan 2012 - found by the Business Conduct Committee to be in violation of NYSE Arca Equities Rule by failing to provide testimony as requested by FINRA. Apr 2012 - to be permanently barred from NYSE Arca membership unless testimony is provided within required time frame.

#### External Sources

##### External Source

<http://www.nyse.com/pdfs/12-ARCA-3.pdf>