Organization: Southern Financial LLC
Address: Country:United States
Tracking ID: 6229369 **Reporting ID:** 6229369

Alert #: 2 Alert ID: 1559801.11522860.41603821

Entity Information

Entity ID: 41603821
Entity Name: Southern Financial Group
Address: North Carolina, UNITED STATES
Event: SEC - SEC Violations (Insider Trading, Securities Fraud)
ACT - Disciplinary, Regulatory Action
09/12/2002, The Court in this case adjudged defendants Elfindepan, Southern Financial Group, and Tracy Calvin Dunlap, Jr., to be in contempt of court and ordered Dunlap incarcerated based on their refusal to obey court orders requiring them to produce documents and account for and repatriate investor funds.

Source Information

RDC URL: https://grid.rdc.com/wss/entity.html?entityId=f0a4cd957f6297875d3f3d53eb346cc4
Source Name: SEC Litigation Releases - 2002, 05/12/2012
Source URL: http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2002.shtml
Entity URL: http://www.sec.gov/litigation/litreleases/lr17723.htm