



Organization: Southern Financial LLC

Address: Country: United States

Tracking ID: 6229369

Reporting ID: 6229369

Alert #: 5

Alert ID: 1559801.11522860.25646082

Entity Information

Entity ID: 25646082

Entity Name: Southern Financial Group

Address: North Carolina, UNITED STATES

- Event:
- SEC - SEC Violations (Insider Trading, Securities Fraud)
ACT - Disciplinary, Regulatory Action
04/26/2001, LITIGATION RELEASE NO. 16977/ SEC V. SOUTHERN FINANCIAL GROUP 1:00CV00742 (August 10, 2000) COURT OF APPEALS AFFIRMS TRIAL COURT'S ORDER INCARCERATING DUNLAP FOR CONTEMPT. On March 15, 2001, the U.S. District Court for the Middle District of North Carolina adjudged defendants Elfindapan, S.A., Southern Financial Group and Tracy Calvin Dunlap, Jr. to be in civil contempt of court based on their refusal to obey court orders requiring them to produce documents, and account for and repatriate investor funds, related to their allegedly fraudulent investment schemes.
 - SEC - SEC Violations (Insider Trading, Securities Fraud)
ACT - Disciplinary, Regulatory Action
02/08/2001, LITIGATION RELEASE NO. 16892 / SEC V. SOUTHERN FINANCIAL GROUP, (USDC Middle District of North Carolina, 1:00CV00742) amended complaint alleges that Southern Financial Group misappropriated millions of dollars from innocent investors through a fraudulent investment scheme commonly referred to as a "Prime Bank" scheme.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=acd62503a7de836f5d3f3d53eb346cc4>

Source Name: SEC Litigation Releases - 2001, 03/02/2010

Source URL: <http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2001.shtml>Entity URL:

- <http://www.sec.gov/litigation/litreleases/lr16977.htm>
- <http://www.sec.gov/litigation/litreleases/lr16892.htm>