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**Organization:** Southern Financial LLC**Address:** Country:United States**Tracking ID:** 6229369**Reporting ID:** 6229369

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Alert #: 6

Alert ID: [REDACTED]

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**Entity Information**

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**Entity ID:** [REDACTED]**Entity Name:** Southern Financial Group, Inc.**Address:** South Carolina, UNITED STATES

- Event:**
- SEC - SEC Violations (Insider Trading, Securities Fraud)  
ACT - Disciplinary, Regulatory Action  
06/17/2002, Securities and Exchange Commission v. Southern Financial Group, Inc., Richard M. Wooten, Charles Dennis McKittrick and Gerald F. Hunter, Jr., Defendants, and Springdale Investments, Inc., Relief Defendant, United States District Court for the District of South Carolina, Charleston Division, Civil Action File No. 2:02-1806-18
  - SEC - SEC Violations (Insider Trading, Securities Fraud)  
ACT - Disciplinary, Regulatory Action  
06/19/2002, Securities and Exchange Commission v. Southern Financial Group, Inc., Richard M. Wooten, Charles Dennis McKittrick and Gerald F. Hunter, Jr., Defendants, and Springdale Investments, Inc., Relief Defendant, United States District Court for the District of South Carolina, Charleston Division, Civil Action File No. 2:02-1806-18
  - SEC - SEC Violations (Insider Trading, Securities Fraud)  
ACT - Disciplinary, Regulatory Action  
05/28/2002, SECURITIES AND EXCHANGE COMMISSION V. SOUTHERN FINANCIAL GROUP, INC., RICHARD M. WOOTEN, CHARLES DENNIS MCKITTRICK AND GERALD F. HUNTER, JR., United States District Court for the District of South Carolina, Charleston Division, Civil Action File No. 2:02-1806-18 (Filed May 24, 2002)

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**Source Information**

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**RDC URL:** <https://grid.rdc.com/wss/entity.html?entityId=a7a395d6a12368e75d3f3d53eb346cc4>**Source Name:** SEC Litigation Releases - 2002, 05/12/2012**Source URL:** <http://www.sec.gov/litigation/litreleases/litrelarchive/litarchive2002.shtml>

- Entity URL:**
- <http://www.sec.gov/litigation/litreleases/lr17574.htm>
  - <http://www.sec.gov/litigation/litreleases/lr17569.htm>
  - <http://www.sec.gov/litigation/litreleases/lr17535.htm>